

Shadow Dorset Council

Date of Meeting	25 March 2019
Lead Member	Cllr Spencer Flower, Lead Member for Governance
Officer	Jonathan Mair, Corporate Director, Legal and Democratic Services
Subject of Report	Proposed 2019-20 Internal Audit Plan and Internal Audit Charter
Executive Summary	<p>Internal audit provides an independent and objective opinion on an Authorities' risk management, governance, and control environment by evaluating its effectiveness.</p> <p>SWAP Internal Audit Services (SWAP) was appointed as the internal auditor to Dorset Council by the Shadow Executive Committee on 21 August 2018. Since then, officers from SWAP have been working with the new Council's senior management to put together a proposed plan of audit work (attached at Appendix 1), which the Shadow Executive Committee is asked to agree.</p>
Impact Assessment:	<p>Equalities Impact Assessment:</p> <p>An EqIA is not required</p> <p>Use of Evidence:</p> <p>The audit plan attached has been drafted with input from the Chief Executive Designate and members of the Senior Leadership Team.</p> <p>Budget:</p> <p>Implications covered in paper agreed by the Shadow Executive Committee on 21 August 2018.</p> <p>Risk Assessment:</p> <p>Having considered the risks associated with this decision using the LGR approved risk management methodology, the level of risk has been identified as:</p> <p>Current Risk: LOW Residual Risk LOW</p>

	<p>Other Implications:</p> <p>None</p>
Recommendation	Shadow Executive Committee adopt the Internal Audit plan attached at Appendix 1.
Reason for Recommendation	To ensure that the new Council maintains an effective internal audit provision.
Appendices	1. Proposed 2019-20 Internal Audit Plan and Internal Audit Charter
Background Papers	Appointment of Internal Auditors – 21 August 2018
Officer Contact	<p>Name: Rupert Bamberger, Assistant Director, SWAP</p> <p>Tel: 07720 312464</p> <p>Email: rupert.bamberger@swapaudit.co.uk</p>
Date agreed by Lead Member	15 March 2019
Date agreed by Statutory Officers	11 March 2019